

1437

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Pat. No.: 6,801,148 B2

Inventor: Freeman et al.

Serial No.: 09/760,531

Issued: October 5, 2004

For: BEAMFORMED ULTRASONIC IMAGER WITH DELTA-SIGMA
FEEDBACK CONTROL

Attorney

Docket No.: 1693-81437

Petition to Correct the AssigneeMail Stop: Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Communication mailed August 3, 2005 (copy attached), enclosed are copies of *two* Notices of Recordation from the parent application (Patent No. 5,964,708) showing the Assignee in one Assignment to be The Regents of the University of Michigan (Reel/Frame 9092/0476), and the Assignee in the other Assignment to be Q-Dot, Inc. (Reel/Frame 9092/0471). Also enclosed is a check for the processing fee in the amount of \$130.

Patentee requests that 37 CFR 3.81(a) be waived to permit the correct name of the assignee to be provided. Patentee states that the failure to include the correct assignee name on form PTOL-85B was inadvertent.

Should this Petition be granted, Patentee requests that this Petition be forwarded to the Certificates of Correction Branch for issuance of a Certificate of Correction.

08/23/2005 TBESHAH1 00000017 6801146

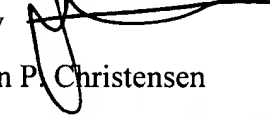
01 FC:1464

130.00 OP

No further fee or petition is believed to be necessary. Should any further fee be needed, please charge our Deposit Account No. 23-0920, and deem this paper to be the required petition.

The Examiner is requested to phone the undersigned should any questions arise that can be dealt with over the phone to expedite this prosecution.

Respectfully submitted,
WELSH & KATZ, LTD.

By 
Jon P. Christensen
Registration No. 34,137

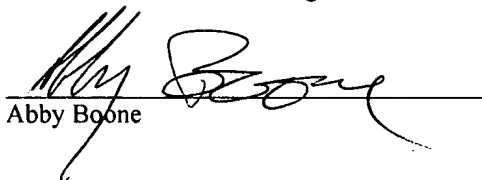
Enclosures:

Copy of Communication dated August 3, 2005
2 Notice of Recordations from parent application (Patent No. 5,964,708)
Check in the amount of \$130
Postcard

August 17, 2005
WELSH & KATZ, LTD.
120 South Riverside Plaza
22nd Floor
Chicago, Illinois 60606
(312) 655-1500

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify that this Communication is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Mail Stop: Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-0001, on August 17, 2005.


Abby Boone



By hand:

Customer Service Window
Mail Stop Petitions
Randolph Building
401 Dulany Street
Alexandria, VA 22314

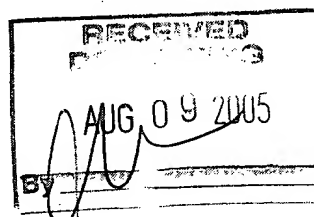
By fax:

(703) 872-9306
ATTN: Office of Petitions

If a fee (currently \$100) was previously submitted for consideration of a Request for Certificate of Correction, under CFR 1.323, to correct assignment data, no additional fee is required.

David Irvine
For Cecelia Newman
Decisions & Certificates of Correction Branch
(703) 308-9590 or (703) 308-8309

John P. Christensen
Welsh & Katz Ltd
120 Riverside Plaza - 22nd Floor
Chicago, IL 60606-3912



di

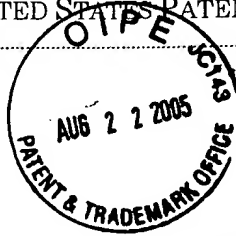
JB5/JPC
81437
RECEIVED

2005 AUG -9 AM 8:47

WELSH & KATZ, LTD.



UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

Date Mailed : August 3, 2005

Patent No. : 6,801,148 B2
Ser. No. : 09/760,531
Inventor(s) : Freeman et al
Issued : October 5, 2004
Title : BEAMFORMED ULTRASONIC IMAGER WITH DELTA-SIGMA
FEEDBACK CONTROL
Docket No. : 1693-81437

Re: Request for Certificate of Correction

Consideration has been given your request for the issuance of a certificate of correction for the above-identified patent under the provisions of Rule(s) 1.322 and/or 1.323.

Assignees' names and addresses (assignment data) printed in a patent, are based *solely* on information supplied in the appropriate space for identifying the assignment data, i.e., item 3 of the Issue Fee Transmittal Form PTOL-85B. Granting of a request under 37 CFR 3.81(b) is required to correct applicant's error providing incorrect or erroneous assignment data, *before* issuance of a Certificate of Correction, under 37 CFR 1.323 (*see Manual of Patent Examining Procedures (M.P.E.P) Chp. 1400, sect. 1481*). This procedure is required *at any time after the issue fee is paid*, including after issuance of the patent.

In view of the foregoing, your request, in this matter, is hereby denied.

A request to correct the Assignee under 37 CFR 3.81(b) should include:

- A. the processing fee set forth in 37 CFR 1.117(h) (currently \$130);
- B. a statement that the failure to include the correct assignee name on the PTOL-85B was inadvertent; and
- C. a copy of the Notice of Recordation of Assignment Document, reflecting the reel and frame number where the assignment(s) is recorded and/or reflecting proof of *the date* the assignment was submitted for recordation.

In the Request, Applicant(s) may request that the file be forwarded to Certificates of Correction Branch, for issuance of a Certificate of Correction, if the Request is granted.

Any request under 37 CFR 3.81(b) should be directed to the following address or facsimile number:

By mail: Mail Stop PETITIONS
Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450



70148
JAS-SPC

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 10, 1998

PTAS

WELSH & KATZ, LTD
JON P. CHRISTENSEN, ESQ.
120 SOUTH RIVERSIDE PLAZA, 22ND FLOOR
CHICAGO, IL 60603-3913



100683149A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/27/1998

REEL/FRAME: 9092/0476
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

FREEMAN, STEVEN R.

DOC DATE: 02/24/1998

ASSIGNOR:

O'DONNELL, MATTHEW

DOC DATE: 02/19/1998

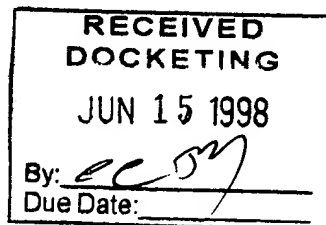
ASSIGNEE:

MICHIGAN, UNIVERSITY OF, THE, REGENTS OF, THE
3003 SOUTH STATE STREET, WOLVERINE TOWER, RM2071
ANN ARBOR, MICHIGAN 48109-1280

SERIAL NUMBER: 08944226
PATENT NUMBER:

FILING DATE: 10/06/1997
ISSUE DATE:

SEDLEY PYNE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

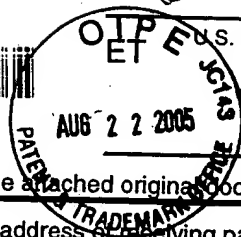


RECEIVED
1998 JUN 15 AM 10:01
WELSH & KATZ, LTD.

04-14-1998



100683149



To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Steven R. Freeman
Matthew O'Donnell

MRD 3-27-98

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: The Regents of the University of Michigan

Internal Address: _____

Street Address: University of Michigan, Wolverine Tower,
Room 2071, 3003 South State Street

City: Ann Arbor State: Michigan ZIP: 48109-1280

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: February 24, 1998

4. Application number(s) or patent number(s): 08/944,226

If this document is being filed together with a new application, the execution date of the application is: March 13, 1998

A. Patent Application No.(s)

08/944,226

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jon P. Christensen, Esq.

Internal Address: _____

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41) \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 23-0920

(Attach duplicate copy of this page if paying by deposit account)

4/01/1998 ZARDELLA 00000015 08944226
FC:541

Street Address: WELSH & KATZ, LTD.
120 South Riverside Plaza, 22nd Floor
City: Chicago State: Illinois ZIP: 60603-3913

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jon P. Christensen

Name of Person Signing

Signature

March 27, 1998

Date

Total number of pages including cover sheet, attachments, and document: 2

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

A S S I G N M E N T

We, Steven R. Freeman, of 2241 South Huron Parkway, Apt. 4, Ann Arbor, Michigan 48104, and Matthew O'Donnell, of 1607 Brooklyn Avenue, Ann Arbor, Michigan 48104, both citizens of the United States, for valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to The Regents of the University of Michigan, a Michigan corporation, having a principal office at University of Michigan, Wolverine Tower, Room 2071, 3003 South State Street, Ann Arbor, Michigan 48109-1280, its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by us and disclosed in the application for Letters Patent of the United States entitled

BEAMFORMED ULTRASONIC IMAGER WITH DELTA-SIGMA FEEDBACK CONTROL

filed on October 6, 1997, having U.S. Application Serial No. 08/944,226, and in and to all Letters Patent and Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter. We agree to sign all documents necessary to secure and enforce all said Letters Patent and rights, and request issuance of all said Letters Patent to the above assignee in accordance with this assignment.

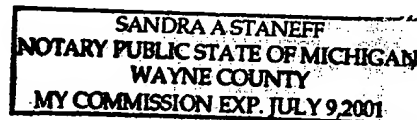
February 24, 1998

Steven R. Freeman
Steven R. Freeman

Sandra A. Staneff
2/24/98

February 19, 1998

Matthew O'Donnell
Matthew O'Donnell



Sandra A. Staneff acting in
Washtenaw County
2/19/98



10148
JAS-3PC

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

JUNE 09, 1998

PTAS

WELSH & KATZ, LTD.
JON P. CHRISTENSEN, ESQ.
120 SOUTH RIVERSIDE PLAZA
22ND FLOOR
CHICAGO, IL 60603-3913



100683147A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/27/1998

REEL/FRAME: 9092/0471
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

LINNENBRINK, THOMAS E.

DOC DATE: 02/19/1998

ASSIGNOR:

MORIN, MARC A.

DOC DATE: 02/18/1998

ASSIGNOR:

QUICK, MARSHALL K.

DOC DATE: 02/18/1998

ASSIGNOR:

DESILETS, CHARLES S.

DOC DATE: 03/13/1998

ASSIGNEE:

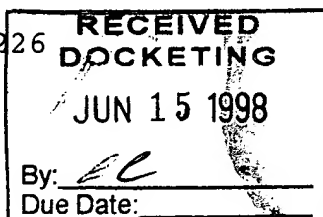
Q-DOT, INC.
1069 ELKTON DRIVE
COLORADO SPRINGS, COLORADO
80907-3579

WELSH & KATZ, LTD.

1998 JUN 15 AM 10:02

RECEIVED

SERIAL NUMBER: 08944226
PATENT NUMBER:



FILING DATE: 10/06/1997
ISSUE DATE:

9092/0471 PAGE 2

DIANE RUSSELE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

04-14-1998

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



100683147

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Thomas E. Linnenbrink
Marc A. Morin
Marshall K. Quick
Charles S. Desilets

MRD 3-27-98

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date: March 13, 1998

2. Name and address of receiving party(ies):

Name: Q:DOT, INC.

Internal Address:

Street Address: 1069 Elkton Drive

City: Colorado Springs State: Colorado ZIP: 80907-3579

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 08/944,226

If this document is being filed together with a new application, the execution date of the application is: March 13, 1998

A. Patent Application No.(s)

08/944,226

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jon P. Christensen, Esq.

Internal Address:

Street Address: WELSH & KATZ, LTD.

120 South Riverside Plaza, 22nd Floor

City: Chicago State: Illinois ZIP: 60603-3913

6. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41) \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: 23-0920

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

04/01/1998 ZAP05110 00000015 08944226
03 FC-501

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Jon P. Christensen
Name of Person Signing

Signature

March 27, 1998
Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

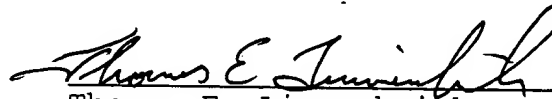
A S S I G N M E N T

We, Thomas E. Linnenbrink, of 475 Silver Saddle, Monument Colorado 80132, Marc A. Morin, of 312 S. Avenue, Woodland Park, Colorado 80863, Marshall K. Quick, of 7965 Sangor Drive, Colorado Springs, Colorado 80920, and Charles S. Desilets, of 1215 Highland Drive, Edmonds, Washington 98020, all citizens of the United States, for valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to Q-DOT, INC., a Delaware corporation, having a principal office at 1069 Elkton Drive, Colorado Springs, Colorado 80907-3579, its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by us and disclosed in the application for Letters Patent of the United States entitled

BEAMFORMED ULTRASONIC IMAGER WITH DELTA-SIGMA FEEDBACK CONTROL

filed on October 6, 1997, having U.S. Application Serial No. 08/944,226, and in and to all Letters Patent and Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter. We agree to sign all documents necessary to secure and enforce all said Letters Patent and rights, and request issuance of all said Letters Patent to the above assignee in accordance with this assignment.

2 / 1 9, 1998


Thomas E. Linnenbrink

_____, 1998

Marc A. Morin

_____, 1998

Marshall K. Quick

_____, 1998

Charles S. Desilets

A S S I G N M E N T

We, Thomas E. Linnenbrink, of 475 Silver Saddle, Monument Colorado 80132, Marc A. Morin, of 312 S. Avenue, Woodland Park, Colorado 80863, Marshall K. Quick, of 7965 Sangor Drive, Colorado Springs, Colorado 80920, and Charles S. Desilets, of 1215 Highland Drive, Edmonds, Washington 98020, all citizens of the United States, for valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to Q-DOT, INC., a Delaware corporation, having a principal office at 1069 Elkton Drive, Colorado Springs, Colorado 80907-3579, its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by us and disclosed in the application for Letters Patent of the United States entitled

BEAMFORMED ULTRASONIC IMAGER WITH DELTA-SIGMA FEEDBACK CONTROL

filed on October 6, 1997, having U.S. Application Serial No. 08/944,226, and in and to all Letters Patent and Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter. We agree to sign all documents necessary to secure and enforce all said Letters Patent and rights, and request issuance of all said Letters Patent to the above assignee in accordance with this assignment.

_____, 1998

Thomas E. Linnenbrink

Feb 18 _____, 1998

Marc A. Morin

Marc A. Morin

_____, 1998

Marshall K. Quick

_____, 1998

Charles S. Desilets

A S S I G N M E N T

We, Thomas E. Linnenbrink, of 475 Silver Saddle, Monument Colorado 80132, Marc A. Morin, of 312 S. Avenue, Woodland Park, Colorado 80863, Marshall K. Quick, of 7965 Sangor Drive, Colorado Springs, Colorado 80920, and Charles S. Desilets, of 1215 Highland Drive, Edmonds, Washington 98020, all citizens of the United States, for valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to Q-DOT, INC., a Delaware corporation, having a principal office at 1069 Elkton Drive, Colorado Springs, Colorado 80907-3579, its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by us and disclosed in the application for Letters Patent of the United States entitled

BEAMFORMED ULTRASONIC IMAGER WITH DELTA-SIGMA FEEDBACK CONTROL

filed on October 6, 1997, having U.S. Application Serial No. 08/944,226, and in and to all Letters Patent and Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter. We agree to sign all documents necessary to secure and enforce all said Letters Patent and rights, and request issuance of all said Letters Patent to the above assignee in accordance with this assignment.

_____, 1998

Thomas E. Linnenbrink

_____, 1998

Marc A. Morin

Feb 18, 1998, 1998


Marshall K. Quick

_____, 1998

Charles S. Desilets

A S S I G N M E N T

We, Thomas E. Linnenbrink, of 475 Silver Saddle, Monument Colorado 80132, Marc A. Morin, of 312 S. Avenue, Woodland Park, Colorado 80863, Marshall K. Quick, of 7965 Sangor Drive, Colorado Springs, Colorado 80920, and Charles S. Desilets, of 1215 Highland Drive, Edmonds, Washington 98020, all citizens of the United States, for valuable consideration, receipt of which is hereby acknowledged, have assigned and do hereby assign to Q-DOT, INC., a Delaware corporation, having a principal office at 1069 Elkton Drive, Colorado Springs, Colorado 80907-3579, its successors, assigns and legal representatives, the entire right, title and interest in and to all subject matter invented by us and disclosed in the application for Letters Patent of the United States entitled

BEAMFORMED ULTRASONIC IMAGER WITH DELTA-SIGMA FEEDBACK CONTROL

filed on October 6, 1997, having U.S. Application Serial No. 08/944,226, and in and to all Letters Patent and Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter. We agree to sign all documents necessary to secure and enforce all said Letters Patent and rights, and request issuance of all said Letters Patent to the above assignee in accordance with this assignment.

_____, 1998

Thomas E. Linnenbrink

_____, 1998

Marc A. Morin

_____, 1998

Marshall K. Quick

March 13, 1998

Charles S. Desilets
Charles S. Desilets